

COMMITTEE: **RELATED PARTY COMMITTEE**

NAME:

Date:

RATING SCALE		E. PASTORES	R. PADILLA	A. IBANEZ	E. TIZON
3 - EXCELLENT 2 - SATISFACTORY 1 - NEEDS IMPROVEMENT					
CONDUCT OF MEETINGS (20%)					
1	Materials are received in sufficient time to prepare for the meeting.	0.58	0.60	0.60	0.60
2	The agenda was clear and realistic for the allotted meeting time.				
3	I had a clear understanding of the objectives for today's meeting.				
4	I felt supported and valued as a member of this committee/Board				
5	I was encouraged to discuss and share my opinions openly.				
6	Disagreements were handled openly, honestly and directly.				
7	The chair kept discussions on track.				
8	The chair was prepared for the meeting.				
9	Meeting participants were prepared for the meeting.				
10	Follow-up action item responsibilities were clear to all meeting participants.				
TOTAL					
PERFORMANCE OF DUTIES & RESPONSIBILITIES (30%)					
1	Evaluates on an ongoing basis existing relations between and among businesses and counterparties to ensure that all related parties are continuously identified, RPTs are monitored, and subsequent changes in relationships with counterparties (from non-related to related and vice versa) are captured. Related parties, RPTs and changes in relationships should be reflected in the relevant reports to the Board and regulators/supervisors;	0.60	0.45	0.90	0.90
2	Evaluates all material RPTs to ensure that these are not undertaken on more favorable economic terms (e.g. price, commissions, interest rates, fees, tenor, collateral requirement) to such related parties than similar transactions with non- related parties under similar circumstances and that no corporate or business resources of the company are misappropriated or misapplied, and to determine any potential reputational risk issues that may arise as a result of or in connection with the transactions.				
3	Ensures that appropriate disclosure is made, and/or information is provided to regulating and supervising authorities relating to the company's RPT exposures, and policies on conflicts of interest or potential conflicts of interest. The disclosure should include information on the approach to managing material conflicts of interest that are inconsistent with such policies, and conflicts that could arise as a result of the company's affiliation or transactions with other related parties;				
4	Reports to the Board of Trustee on a regular basis, the status and aggregate exposures to each related party, as well as the total amount of exposures to all related parties;				
5	Ensures that transactions with related parties, including write-off of exposures are subject to a periodic independent review or audit process; and				
6	Oversees the implementation of the system for identifying, monitoring, measuring, controlling, and reporting RPTs, including a periodic review of RPT policies and procedures.				
TOTAL					
ACCOMPLISHMENT OF ACTION PLANS (50%) - 3 FOR COMPLETED, 0 FOR INCOMPLETE					
1	2021 Committee Calendar	1.50	1.50	1.50	1.50
2	Disclosure Form				
3	Policy and Procedure on RPT				
4	Committee Charter				
5	2022 committee action plans				
TOTAL					
TOTAL SCORE/ADJECTIVAL RATING		2.68	2.55	3.00	3.00

AVERAGE 2.81

